

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kouji Arai, et al.

Application No.:

Filed:

For: SYSTEM AND METHOD FOR
REPLICATING DATA

Examiner: Frantz Coby

Art Unit: 2171

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 09/528,416, filed March 17, 2000 (Attorney Docket No. 16869P-006200). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Robert C. Colwell". The signature is fluid and cursive, with the first name "Robert" and last name "Colwell" clearly distinguishable.

Robert C. Colwell
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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Page

1

of

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Complete if Known

Application Number

Filing Date

First Named Inventor

Arai, Kouji, et. al.

Art Unit

2171

Examiner Name

Frantz Coby

Attorney Docket Number

16869P-006210US

U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
	AA	US-5,845,295	12/1/98	Houseman et al.	
	AB	US-5,857,208	1/5/99	Ofek	
	AC	US-6,009,481	12/1999	Mayer	
	AD	US-5,983,316	11/1999	Norwood	
	AE	US-5,987,566	11/1999	Vishlitzky	
	AF	US-5,961,652	10/1999	Thompson	
	AG	US-6,105,118	8/2000	Maddalozzo, Jr. et al.	
	AH	US-6,023,584	2/2000	Barton et al.	
	AI	US-5,819,310	10/1998	Vishlitzky et al.	
	AJ	US-5,390,313	2/1995	Yanai et al.	
	AK	US-5,423,046	6/1995	Nunnelley et al.	
	AL	US-5,212,784	5/1993	Sparks	
	AM	US-5,392,244	2/1995	Jacobson et al.	
	AN	US-5,435,004	7/1995	Cox et al.	
	AO	US-5,432,922	7/1995	Polyzois et al.	
	AP	US-5,897,661	4/1999	Baranovsky et al.	
	AQ	US-6,112,257	8/2000	Mason, Jr. et al.	
	AR	US-5,742,792	4/21/1998	Yanai et al.	
	AS	US-5,852,715	12/22/1998	Raz et al.	
	AT	US-6,101,497	8/8/2000	Ofek	
	AU	US-6,092,066	7/18/2000	Ofek	
	AV	6,035,412	3/732000	Tamer et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				
	AW	EP	0 671 686 A1	02/07/1995			<input type="checkbox"/>
	AX	WORLD	99/15957	4/1999	Mason et al.		<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>

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Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.